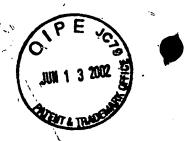
Client/Matter No 564131/0016 MWS:DLS:GSP



COPY OF PAPERS ORIGINALLY FILED

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Shinichi Sugihara

Examiner: E.M. Johnson

Application Serial No.: 09/763,394

Group: 1754

Filing Date:

July 2, 2001

Title: VISIBLE-RADIATION ACTIVATING TYPE PHOTOCATALYST AND

METHOD FOR PRODUCING THE SAME

June 4, 2002

AMENDMENT

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

This Amendment is filed in response to an Office Action mailed on March 4, 2002 (the "Office Action"). A response to the Office Action is due no later than June 4, 2002; thus, this Amendment is being timely filed. Applicant respectfully requests amendment of the above THE TOP TO STATE OF THE PARTY O referenced application as follows:

Certificate of Mailing (37 C.F.R. 1.8)

I hereby certify that this correspondence is being deposited with United States Postal Service with sufficient postage as first class mail envelope addressed to: Commissioner for Patents, Washington, D.C. 202 on (Date) June 4, 2002

Typed or printed name of person signing this certificate:

Ad ustment date: 07/2572003 EEKUBAY1 06/29/2002 NMOHAMM 00000091 194709 144.00 CR 168.00 CR

Signature:

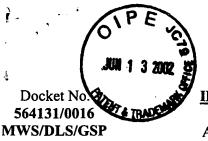
00000091 124709 06/19/2002 MMONAMAT

07/25/2003 EEKUBA|1 00000013 194709 09763394

01 FC:2201 02 FC:2202

84.00 DA 72.00 DA

SSL-DOCS1 1213146v2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE GINALLY FILED

Applicant: Shinichi Sugihara

Art Unit: 1754

Application No.:

Examiner:

E.M. Johnson

Filed: July 2, 2001

For:

VISIBLE-RADIATION ACTIVATING TYPE PHOTOCATALYST AND

METHOD FOR PRODUCING THE SAME

09/763,394

June 4, 2002 Date:

Commissioner for Patents Washington, DC 20231

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)

Sir:

I hereby certify that, pursuant to 37 C.F.R. § 1.8, the following correspondence: Fee Transmittal for FY 2002 (1 p.) in duplicate; Amendment; and acknowledgement postcard.

June 4, 2002 with the United States Postal Service as first class mail in an is being deposited on UN 2 7 ZODS envelope bearing sufficient postage thereon and addressed to:

Commissioner For Patents Washington, DC 20231

(Typed Or Printed Name Of Person Mailing Correspondence)

SSL-DOCS1 1227623v1

er the Part Application Act of 1995, no persons are required to re

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	31	2.	00
------	----	----	----

spond to a collection of inf	ormation unless it displays a valid OMB	control number CODY
С	omplete if Known	control number COPY OF PAPER
Application Number	09/763,394	ORIGINALLY FILE.
Filing Date	July 2, 2001	
First Named Inventor	Shinichi Sugihara	
Examiner Name	E.M. Johnson	
Group Art Unit	1754	
Attorney Docket No.	564131/0016	7

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)							
Check	Credit card	Credit card Money Other None			3. ADDITIONAL FEES					
Order Order		Large	Large Entity Small Entity							
Deposit 10 4700		Fee Cod		Fee Cod	Fee e (\$)	Fee Description	Fee Paid			
Account Number		19-4709	105	130	205	65	Surcharge - late filing fee or oath			
Deposit Account Name	Stroock &	Stroock & Lavan LLP	127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
		ized to: (check all that apply)	139	130	139	130	Non-English specification			
C	(s) indicated beli	ow Credit any overpayments i) during the pendency of this application	147	2,520	147	2,520	For filing a request for ex parte reexamination			
1 2	· ·	ow, except for the filing fee	112	920*	112	920*	Requesting publication of SIR prior to			
	entified deposit		113	1,840°	112	1 940*	Examiner action Requesting publication of SIR after			
		ALCULATION	''3	1,040	'''	1,040	Examiner action			
1. BASIC FILING FEE		115	110	215	55	Extension for reply within first month				
Large Entity	Small Entity		116	400	216	200	Extension for reply within second month			
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description Fee Paid	117	920	217	460	Extension for reply within third month			
101 740	201 370	Utility filing fee	118	1,440	218	720	Extension for reply within fourth month			
106 330	206 165	Design filing fee	128	1,960	228	980	Extension for reply within fifth month			
107 510	207 255	Plant filing fee	119	320	219	160	Notice of Appeal			
108 740	208 370	Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal			
114 160	214 80	Provisional filing fee	121	280	221	140	Request for oral hearing			
i '		SUBTOTAL (1) (\$) 0.00	138	1,510	138	1,510	Petition to institute a public use proceeding			
(,, (4)		140	110	240	55	Petition to revive - unavoidable				
2. EXTRA C	LAIM FEES	FOR UTILITY AND REISSUE	141	1,280	241	640	Petition to revive - unintentional			
Į.		Extra Claims below Fee Paid	l	1,280		640	Utility issue fee (or reissue)			
Total Claims Independent	-20**		143	460		230	Design Issue fee			
Claims	-3**	= 2 X 84 = 168	144	620	244	310	Plant issue fee			
Multiple Depen	ident	=	122	130	122	130	Petitions to the Commissioner			
Larra Entite I			123	50	123	50	Processing fee under 37 CFR 1.17(q)			
Large Entity Fee Fee	Small Entity Fee Fee	Fee Description	126	180	126	180	Submission of Information Disclosure Stmt			
Code (\$) 103 18	Code (\$) 203 9	Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)			
102 84	202 42	Independent claims in excess of 3	146	740	246	370	Filing a submit star after final rejection			
104 280	204 140	Multiple dependent claim, if not paid		=40			(37 CFR § 1.129 a)			
109 84	209 42	** Reissue independent claims over original patent	149	740	249	370	For each additionanin entron to be examined (ACFR § 1.129 N)			
110 18	210 9	** Reissue claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)			
•		and over original patent	169	900	169	900	Request for expedited examination of a design application			
	SUB	TOTAL (2) (\$) 312.00	Othe	r fee (s	pecify))	7500			
**or number previously paid, if greater; For Reissues, see above		*Red	uced b	y Basi	c Filing	Fee Paid SUBJOSAL (3) (\$) 0.0	0			

SUBMITTED BY			Complete (if applicable)				
Name (Print/Type)	Matthew W. Siegal		Registration No. (Attorney/Agent)	32,941	Telephone	(212) 806-5400	
Signature	1.000/				Date	June 4, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SSL-DOCS1 1227621v1

B